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PTO/SB/21 (04-04)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

132

Application Number

09/926,474

Filing Date

January 18, 2002

First Named Inventor

SPICER, Steven

Art Unit

2151

Examiner Name

WALSH, John B.

Attorney Docket Number

T8465681US

## ENCLOSURES (check all that apply)

|  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert a Provisional Application                  | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below:          |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund   | <input type="checkbox"/> Information Disclosure Statement Cited References              |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              |   |   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |
| Remarks  |   |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | Gowling Lafleur Henderson LLP<br>TISDALL, Grant - Registration No. 53,902 |
| Signature               |   |
| Date                    | July 11, 2005   |

## CERTIFICATE OF TRANSMISSION/MAILING

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Docket: T8465681US

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
SPICER, STEVEN ) : Examiner: WALSH, John B.  
Appln. No.: 09/926,474 ) : Group Art Unit: 2151  
Filed: January 18, 2002 ) :  
For: LIMITED BANDWIDTH ) :  
ELECTRONIC DATA ) : July 11, 2005  
COMMUNICATION SYSTEM ) :

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P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

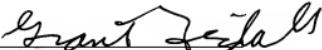
Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with the practice under 37 C.F.R. §1.97 and §1.98, Applicant hereby petitions consideration of the references listed on the enclosed Form PTO-1449. The petition fee set forth in 37 C.F.R. §1.17(i) and the prescribed fee set forth in 37 C.F.R. §1.17(p) may be charged to Deposit Account No. 07-1750.

07/13/2005 HAL111 00000170 071750 09526474  
01 FC:1806 180.00 DA

Applicant's undersigned agent may be reached by telephone at (416) 862-4318. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

  
Grant Tisdall  
Agent for Applicant  
Registration No. 53,902

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TOR\_LAW\6017296\1

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
T8465681US

In Re Application Of: SPICER, Steven

|                 |             |                |              |                |                  |
|-----------------|-------------|----------------|--------------|----------------|------------------|
| Application No. | Filing Date | Examiner       | Customer No. | Group Art Unit | Confirmation No. |
| 09/926,474      | 01/18/2002  | WALSH, John B. |              | 2151           | 7737             |

Title: LIMITED BANDWIDTH ELECTRONIC DATA COMMUNICATION SYSTEM



Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- the statement specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p).

## TRANSMISSIONAL OF INFORMATION DISCLOSURE STATEMENT

JUL 11 2005

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

T8465681US

In Re Application: SPICER, Steven

|                 |             |                |              |                |                  |
|-----------------|-------------|----------------|--------------|----------------|------------------|
| Application No. | Filing Date | Examiner       | Customer No. | Group Art Unit | Confirmation No. |
| 09/926,474      | 01/18/2002  | WALSH, John B. |              | 2151           | 7737             |

Title: LIMITED BANDWIDTH ELECTRONIC DATA COMMUNICATION SYSTEM

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 07-1750 as described below.
- Charge the amount of \$180.00
  - Credit any overpayment.
  - Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Signature

Dated: July 11, 2005

TISDALL, Grant

Registration No. 53,902

Gowling Lafleur Henderson LLP

CC:

| <p style="text-align: right;">CITE<br/>JUL 11 2005</p> <b>INFORMATION DISCLOSURE CITATION</b><br><small>(Use several sheets if necessary)</small> |                    |                   |                                  | Docket Number (Optional)<br><b>T8465681US</b> | Application Number<br><b>09/926,474</b> |             |                            |
|---|--------------------|-------------------|----------------------------------|---|---|-------------|----------------------------|
|   |                    |                   |                                  | Applicant(s)<br><b>SPICER, Steven</b>         |   |             |                            |
|   |                    |                   |                                  | Filing Date<br><b>January 18, 2002</b>        | Group Art Unit<br><b>2151</b>           |             |                            |
| <b>U.S. PATENT DOCUMENTS</b>  |                    |                   |                                  |   |   |             |                            |
| *EXAMINER INITIAL   | REF                | DOCUMENT NUMBER   | DATE                             | NAME  | CLASS                                   | SUBCLASS    | FILING DATE IF APPROPRIATE |
|   |                    | <b>5,903,723</b>  | <b>05/11/1999</b>                | <b>Beck et al.</b>                            |   |             |                            |
|   |                    |                   |                                  |   |   |             |                            |
|   |                    |                   |                                  |   |   |             |                            |
|   |                    |                   |                                  |   |   |             |                            |
|   |                    |                   |                                  |   |   |             |                            |
| <b>U.S. PATENT APPLICATION PUBLICATIONS</b>   |                    |                   |                                  |   |   |             |                            |
| *EXAMINER INITIAL   | REF                | DOCUMENT NUMBER   | DATE                             | NAME  | CLASS                                   | SUBCLASS    | FILING DATE IF APPROPRIATE |
|   |                    |                   |                                  |   |   |             |                            |
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|   |                    |                   |                                  |   |   |             |                            |
|   |                    |                   |                                  |   |   |             |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>   |                    |                   |                                  |   |   |             |                            |
| REF   | DOCUMENT NUMBER    | DATE              | COUNTRY                          | CLASS   | SUBCLASS                                | Translation |                            |
|   |                    |                   |                                  |   |   | YES         | NO                         |
|   | <b>WO 99/65256</b> | <b>12/16/1999</b> | <b>International application</b> |   |   |             |                            |
|   | <b>WO 01/22259</b> | <b>03/29/2001</b> | <b>International application</b> |   |   |             |                            |
|   |                    |                   |                                  |   |   |             |                            |
|   |                    |                   |                                  |   |   |             |                            |
|   |                    |                   |                                  |   |   |             |                            |
| <b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>  |                    |                   |                                  |   |   |             |                            |
|   |                    |                   |                                  |   |   |             |                            |
|   |                    |                   |                                  |   |   |             |                            |
| <b>EXAMINER</b><br><i>/John Walsh/ (01/26/2011)</i>   |                    |                   |                                  | <b>DATE CONSIDERED</b><br><b>01/26/2011</b>   |   |             |                            |

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.